

COMMONWEALTH OF DOMINICA

ARRANGEMENT OF SECTIONS

Sections

PRELIMINARY

1. Short title and commencement.
2. Interpretation.

PART I INVESTMENT FUNDS

Division 1

Prohibitions and Exemptions of Investment Fund

3. Prohibition of unlicensed and unregistered investment funds.
4. Prohibition against promotion of investment funds.
5. General exemptions to promotion.
6. Exemption period for registration as a professional investment fund.

Division 2

Public Investment Funds

7. Licensing of investment fund as public investment fund.
8. Grant of public investment fund licence.
9. Prohibition on invitation to public to subscribe by public investment fund.
10. Circumstances in which invitation to subscribe not invitation to the public.
11. Form and content of offering document.