

COMMONWEALTH OF DOMINICA

ARRANGEMENT OF SECTIONS

Section

PRELIMINARY

1. Short title and commencement.
2. Interpretation.

PART I

LICENSING OF MARKET PLACES AND ANCILLARY FACILITIES

Division 1

Licensing

3. Restriction on market place and ancillary facility.
4. Grant of application for licensing.
5. Conditions for grant of licence.
6. Renewal or reinstatement of licence.
7. Licence effective until revoke, expired or surrendered.

Division 2

Securities Exchange

8. Delisting of securities.
9. Disciplinary action over members of a securities exchange.
10. Closure of securities exchange in emergency.

Division 3

Clearing Facilities

11. Market charge or collateral.