

COMMONWEALTH OF DOMINICA

ARRANGEMENT OF SECTIONS

SECTION

PRELIMINARY

1. Short title.
2. Interpretation.
3. Objectives.
4. General application and exception.
5. Application to charities, etc.
6. Compliance with this Code.

PART I

DUTIES OF THE FIU AND THE FSU

7. FIU the Reporting Authority.
8. Duties of the FIU on receipt of a report.
9. FSU.
10. Proportionate inspection actions.
11. Training of FSU staff.

PART II

ESTABLISHING INTERNAL CONTROL SYSTEMS

12. Requirement to establish an internal control system.
13. Prohibition of misuse of technological developments.
14. Duty to carry out risk assessment.
15. Roles and duties of an entity and a professional.
16. Responsibilities of senior management.
17. Responsibilities of an employee.